

UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

WENDY GUZMAN, INDIVIDUALLY \$
AND AS NEXT FRIEND OF TRISTAN \$
GUZMAN, A MINOR \$
v. \$ C.A. No. 07-03973

MEMORIAL HERMANN HOSPITAL \$
SYSTEM, D/B/A MEMORIAL \$
HERMANN SOUTHEAST HOSPITAL \$

MEMORIAL HERMANN HOSPITAL SYSTEM d/b/a MEMORIAL HERMANN SOUTHEAST HOSPITAL'S RESPONSES AND OBJECTIONS TO PLAINTIFFS' REQUEST FOR PRODUCTION

TO: Plaintiffs, Wendy Guzman, individually and as next friend of Tristan Guzman, a minor, by and through their attorney of record, Phillip Pfeifer, Phillip A. Pfeifer, P.C., 5216 Jackson Street, Houston, TX 77004.

Defendant Memorial Hermann Hospital System d/b/a Memorial Hermann Southeast Hospital ("Memorial Hermann"), pursuant Rule 34 of the Federal Rules of Civil Procedure, serves its Responses and Objections to Plaintiffs' Request for Production.

By:

Respectfully submitted,

SMYSER KAPLAN & VESELKA, L.L.P.

Craig Smyser

State Bar No. 1874575

Christina A. Bryan

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2300 Bank of America Center

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ATTORNEYS FOR DEFENDANT
MEMORIAL HERMANN HOSPITAL SYSTEM
d/b/a MEMORIAL HERMANN SOUTHEAST
HOSPITAL

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing instrument has been mailed postage prepaid, certified mail, return receipt requested to the attorneys of record in the above referenced matter, on this <u>23rd</u> day of July, 2008.

Christina A. Bryan

RESPONSES AND OBJECTIONS TO REQUEST FOR PRODUCTION

- 1. Please produce the original chart of Memorial Hermann Southeast Hospital for Tristan Guzman for his emergency department visits to Memorial Hermann Southeast Hospital for February 12 and February 13, 2006. Please produce this for inspection and copying, as necessary.
- RESPONSE: It is not the practice of Memorial Hermann Southeast Hospital to allow the whole or any part of the patient's original medical chart be removed from Memorial Hermann Southeast Hospital. However, you may view the original medical chart at the hospital during a mutually agreeable date and time to be arranged through counsel for this Defendant.
- 2. Please produce the original chart of Memorial Hermann Southeast Hospital for Tristan Guzman for his hospitalization at Memorial Hermann Southeast Hospital for February 13, 2006 until discharge. Please produce this for inspection and copying, as necessary.
- **RESPONSE:** It is not the practice of Memorial Hermann Southeast Hospital to allow the whole or any part of the patient's original medical chart be removed from Memorial Hermann Southeast Hospital. However, you may view the original medical chart at the hospital during a mutually agreeable date and time to be arranged through counsel for this Defendant.
- 3. Please produce a true and correct copy of the contract between Memorial Southeast Emergency Physicians, Inc. and Memorial Hermann Hospital System that was in force and effect during February 2006.
- **RESPONSE:** Defendant objects because this request is not limited to a relevant subject matter and seeks documents that are not relevant and not reasonably calculated to lead to the discovery of admissible evidence.
 - Subject to and without waiving these objections, see contract attached and bates numbered MHSE-TG-0036 through 0055.
- 4. Please produce a true and correct copy of the contract between Memorial Southwest Emergency Physicians, Inc. and Memorial Hermann Hospital System that was in force and effect during February 2006.
- RESPONSE: Defendant objects because this request is vague, overly broad in scope, harassing, unduly burdensome, and is tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this request is not limited to a relevant subject matter and seeks documents that are not relevant and not reasonably calculated to lead to the discovery of admissible evidence. Furthermore, this request is improper to the extent it seeks discovery from a non-party.

Subject to and without waiving these objections, see contract attached and bates numbered MHSE-TG-0056 through 0075.

5. Please produce a true and correct copy of the contract between Memorial Northwest Emergency Physicians, Inc. and Memorial Hermann Hospital System that was in force and effect during February 2006.

RESPONSE: Defendant objects because this request is vague, overly broad in scope, harassing, unduly burdensome, and is tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this request is not limited to a relevant subject matter and seeks documents that are not relevant and not reasonably calculated to lead to the discovery of admissible evidence. Furthermore, this request is improper to the extent it seeks discovery from a non-party.

Subject to and without waiving these objections, see contract attached and bates numbered MHSE-TG-0076 through 0095.

6. Please produce a true and correct copy of any contract between Memorial Hermann Hospital System and Memorial City Emergency Physicians, L.L.P., which was in force and effect on or about February 12, 2006.

RESPONSE: Defendant objects because this request is vague, overly broad in scope, harassing, unduly burdensome, and is tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this request is not limited to a relevant subject matter and seeks documents that are not relevant and not reasonably calculated to lead to the discovery of admissible evidence. Furthermore, this request is improper to the extent it seeks discovery from a non-party.

Subject to and without waiving these objections, see contract attached and bates numbered MHSE-TG-0096 through 0115.

7. Please produce a true and correct copy of any policies, procedures, and/or protocols concerning the medical screening examinations to be performed on pediatric patients coming to the emergency department at Memorial Hermann Southeast Hospital in February, 2006.

RESPONSE: None.

8. Please produce a true and correct copy of any policies, procedures, and/or protocols concerning the medical screening examinations to be performed on pediatric patients coming to the emergency department at Memorial Hermann Southeast Hospital in February, 2006 who were complaining of nausea.

RESPONSE: None.

9. Please produce a true and correct copy of any policies, procedures, and/or protocols

concerning the medical screening examinations to be performed on pediatric patients coming to the emergency department at Memorial Hermann Southeast Hospital in February, 2006 who were complaining of cough.

RESPONSE: None.

10. Please produce a true and correct copy of any policies, procedures, and/or protocols concerning the medical screening examinations to be performed on pediatric patients coming to the emergency department at Memorial Hermann Southeast Hospital in February, 2006 who were complaining of fever.

RESPONSE: None.

11. Please produce a true and correct copy of any policies, procedures, and/or protocols concerning the medical screening examinations to be performed on pediatric patients coming to the emergency department at Memorial Hermann Southcast Hospital in February, 2006 who were complaining of nausea, fever and cough.

RESPONSE: None.

12. Please produce a copy of any documents that would identify the meaning of the letters GLM on the printout of lab results for Tristan Guzman for February 12, 2006.

RESPONSE: See document previously produced and bates numbered MHSE-TG-0033, which indicates that in order to print on a patient's chart footnotes must be entered using the general lab or microbiology entry functions.

13. Please produce a copy of any faxes, emails or other form of communication by which the laboratory results of Tristan Guzman for blood drawn on February 12, 2006 at Memorial Hermann Southeast Hospital were sent by the laboratory at Memorial Hermann Southeast Hospital.

RESPONSE: None. The lab results were sent by computer interface.

14. Please produce a copy of any documents that would show the meaning of the notation "8.0*L(c)" for Lymphocytes on the copy of the computer screen printout of the medical record of Tristan Guzman which was printed when the computerized medical record of Tristan Guzman was accessed by Belinda Metts on September 27, 2006.

RESPONSE: See documents previously produced and bates numbered MHSE-TG-0009 though 0014.

15. Please produce a copy of any documents that would show the critical values for lymphocyte tests at Memorial Hermann Southeast Hospital in February 2006.

RESPONSE: None. There are no critical values for lymphocyte tests at Memorial Hermann Southeast Hospital.

16. Please produce a copy of any documents that would show the critical values for results of the white blood cell differential tests at Memorial Hermann Southeast Hospital in February 2006.

RESPONSE: None. There are no critical values for white blood cell differential tests at Memorial Hermann Southeast Hospital.

17. Please produce a copy of any documents that would show the policies and procedures of the emergency department at Memorial Hermann Southeast Hospital in February 2006, concerning discharging patients before all tests that have been ordered for a patient are completed.

RESPONSE: See attached policy and procedure bates numbered MHSE-TG-0116 through 0118.

18. Please produce a copy of any documents that would show the policies and procedures of the emergency department at Memorial Hermann Southeast Hospital in February 2006 concerning reviewing patient files before a patient is discharged to determine if all ordered tests have been completed for the patient.

RESPONSE: None known.

19. Please produce for inspection a computer at the Memorial Hermann Southeast Hospital that would have on it any software packages from Emergency Consultants, Inc. that were on the computer in the emergency department at Memorial Hermann Southeast Hospital on February 12, 2006 at the time Tristan Guzman was present in the emergency room.

RESPONSE: Defendant objects because this request is vague, overly broad in scope, harassing, unduly burdensome, and is tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this request seeks documents and/or equipment that are not relevant and not reasonably calculated to lead to the discovery of admissible evidence. Furthermore, Defendant objects because this request seeks proprietary information and is potentially invasive of HIPAA as this request pertains to patient information maintained on any such computer.

Subject to and without waiving these objections, none. The computers no longer contain ECI software.

20. Please produce a copy of any correspondence between Emergency Consultants, Inc. and Memorial Hermann Hospital System concerning emergency department physician staffing at Memorial Hermann Hospital System between January 1, 2002 and February 12, 2006.

RESPONSE: Defendant objects because this request is vague, overly broad in scope and time, harassing, unduly burdensome, and is tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this request is not limited to a relevant subject matter and therefore seeks documents that are not relevant and not reasonably calculated to lead to the discovery of admissible evidence. Furthermore, as worded, this request is improper to the

extent it seeks discovery from non-parties.

Subject to and without waiving these objections, none.

21. Please produce a copy of any documents that would show whether or not the hospital computer system at Memorial Hermann Southeast Hospital was functioning between 8:30 a.m. and 11:00 a.m. on February 12, 2006 in such a manner that the manual white blood cell differential test that was done on Tristan Guzman would have been available for viewing by Dr. Philip Haynes during those times had he attempted to view such results on the hospital computers in the emergency department.

RESPONSE: Defendant objects because this request is vague, overly broad in scope and time, harassing, unduly burdensome, and is tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this request is not limited to a relevant subject matter and therefore seeks documents that are not relevant and not reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without waiving these objections, see computer screen printouts attached and bates numbered MHSE-TG-0128 through 0132.

22. Please produce for inspection a computer at Memorial Hermann Hospital System that would permit access on the computer to and ability to print from the entire electronic medical records of Tristan Guzman for his emergency department visits of February 12 and February 13, 2006.

RESPONSE: Defendant objects because this request is vague, overly broad in scope, harassing, unduly burdensome, and is tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this request seeks documents and/or equipment that are not relevant and not reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without waiving these objections, there is no computer outside Memorial Hermann Hospital System's network on which the computerized medical record could be viewed and printed out. Computerized records have been previously produced to Plaintiffs.

23. Please produce any and all correspondence between Emergency Consultants, Inc. and Memorial Hermann Hospital System concerning services to be performed by Emergency Consultants, Inc. at any of the Memorial System Hospitals for the period between January 1, 2002 and February 13, 2006.

RESPONSE: Defendant objects because this request is vague, overly broad in scope and time, harassing, unduly burdensome, and is tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this request is not limited to a relevant subject matter and therefore seeks documents that are not relevant and not reasonably calculated to lead to the discovery of admissible evidence. Furthermore, as worded, this request is improper to the

extent it seeks discovery from non-parties.

Subject to and without waiving these objections, none.

24. Please produce all policies and procedures concerning nursing personnel duties or responsibilities for nurses working in the emergency department at Memorial Hermann Southeast Hospital, which were in force and effect in February 2006.

RESPONSE: See attached policies and procedures bates numbered MHSE-TG-0119 through 0127.

UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

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GUZMAN, A MINOR \$
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MEMORIAL HERMANN HOSPITAL \$
SYSTEM, D/B/A MEMORIAL \$
HERMANN SOUTHEAST HOSPITAL \$

MEMORIAL HERMANN HOSPITAL SYSTEM d/b/a MEMORIAL HERMANN SOUTHEAST HOSPITAL'S ANSWERS AND OBJECTIONS TO PLAINTIFFS' FIRST SET OF INTERROGATORIES

TO: Plaintiffs, Wendy Guzman, individually and as next friend of Tristan Guzman, a minor, by and through their attorney of record, Phillip Pfeifer, Phillip A. Pfeifer, P.C., 5216 Jackson Street, Houston, TX 77004.

Defendant Memorial Hermann Hospital System d/b/a Memorial Hermann Southeast Hospital ("Memorial Hermann"), pursuant to Rule 33 of the Federal Rules of Civil Procedure, serves its Answers and Objections to Plaintiffs' First Set of Interrogatories.

Respectfully submitted,

SMYSER KAPLAN & VESELKA, L.L.P.

By: Craig Smyser

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Christina A. Bryan

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ATTORNEYS FOR DEFENDANT
MEMORIAL HERMANN HOSPITAL SYSTEM
d/b/a MEMORIAL HERMANN SOUTHEAST
HOSPITAL

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing instrument has been mailed postage prepaid, certified mail, return receipt requested to the attorneys of record in the above referenced matter, on this 23 day of July, 2008.

Christina A. Bryan

ANSWERS AND OBJECTIONS TO FIRST SET OF INTERROGATORIES

1. State the name, current address, telephone number and job description of each agent servant or employee of Memorial Hermann Hospital System who has knowledge of whether or not the hospital computer system at Memorial Hermann Southeast Hospital was functioning in such a manner that the results of the white blood cell manual differential test for Tristan Guzman would have been available and visible to physicians in the emergency department at Memorial Hermann Southeast Hospital between 8:30 a.m. and 10:30 a.m. on February 12, 2006.

ANSWER: Defendant objects because this interrogatory is overly broad. Defendant further objects because this interrogatory seeks private and confidential information to the extent it seeks the residential addresses and telephone numbers of hospital employees.

Subject to and without waiving these objections, Memorial Hermann does not know of an individual with personal knowledge and memory of whether the computer system was functioning between 8:30 and 10:30 a.m. on February 12, 2006. See documents produced with Defendant's response to Plaintiffs' Request for Production No. 21, which show that the computer system was functioning between 8:30 and 10:30 a.m. on February 12, 2006.

2. State the name, current address, telephone number and job description of the person who performed the manual white blood cell differential test on blood drawn from Tristan Guzman at Memorial Hermann Hospital System on February 12, 2006.

ANSWER: Mina Suzette Dalmeida. Ms. Dalmeida has been deposed and gave her address in her deposition (see page 4). In the interest of her privacy, Defendant refers Plaintiffs to her deposition.

- 3. State the name, current address, telephone number and job description of each person who was working as a lab technician, lab technologist, supervisor or physician in the medical laboratory at Memorial Hermann Southeast Hospital on February 12, between the hours of 7:00 a.m. and 3:00 p.m.
- ANSWER: Defendant objects because this interrogatory is overly broad and seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence. Defendant further objects because this interrogatory seeks private and confidential information to the extent it seeks the residential addresses and telephone numbers of hospital employees.
- 4. State the name, current address, telephone number and job description of each person who participated in the drafting or approval of the actual policies and procedures of Memorial Hermann Southeast Hospital that were in force and effect in February 2006 concerning compliance with the requirements of EMTALA at Memorial Hermann Southeast Hospital.

ANSWER: Defendant objects because this interrogatory is overly broad scope, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks

information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence. It may be impossible for Defendant to determine every person who reviewed, was consulted or commented on a particular policy and procedure.

Subject to and without waiving these objections, Defendant will produce policies, if any, most of which include the signature of the person approving the policy and procedure.

5. State the name, current address, telephone number and job description of each person who participated in the drafting or approval of the actual policies and procedures of Memorial Hermann Southeast Hospital that were in force and effect in February 2006 concerning the nature and extent of medical screening examinations to be performed on pediatric patients coming to the emergency department at Memorial Hermann Southeast Hospital in February 2006.

ANSWER: Defendant objects because this interrogatory is overly broad scope, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence. It may be impossible for Defendant to determine every person who reviewed, was consulted or commented on a particular policy and procedure.

Subject to and without waiving these objections, none known.

6. State the name, current address, telephone number and job description of each person who participated in the drafting or approval of the actual policies and procedures of Memorial Hermann Southeast Hospital that were in force and effect in February 2006 concerning the medical screening examinations to be performed on pediatric patients coming to the emergency department at Memorial Hermann Southeast Hospital in February 2006 complaining of nausea and vomiting.

ANSWER: Defendant objects because this interrogatory is overly broad scope, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence. It may be impossible for Defendant to determine every person who reviewed, was consulted or commented on a particular policy and procedure.

Subject to and without waiving these objections, none known.

7. State the name, current address, telephone number and job description of each person who participated in the drafting or approval of the actual policies and procedures of Memorial Hermann Southeast Hospital that were in force and effect in February 2006 concerning the medical screening examinations to be performed on pediatric patients coming to the emergency department at Memorial Hermann Southeast Hospital in February 2006 complaining of cough.

ANSWER: Defendant objects because this interrogatory is overly broad scope, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence. It may be impossible for Defendant to determine every person who reviewed, was consulted or commented on a particular policy and procedure.

Subject to and without waiving these objections, none known.

8. State the name, current address, telephone number and job description of each person who participated in the drafting or approval of the actual policies and procedures of Memorial Hermann Southeast Hospital that were in force and effect in February 2006 concerning the medical screening examinations to be performed on pediatric patients coming to the emergency department at Memorial Hermann Southeast Hospital in February 2006 complaining of fever.

ANSWER: Defendant objects because this interrogatory is overly broad scope, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence. It may be impossible for Defendant to determine every person who reviewed, was consulted or commented on a particular policy and procedure.

Subject to and without waiving these objections, none known.

9. State the name, current address, telephone number and job description of each agent, servant or employee of Memorial Hermann Hospital System who has knowledge of the policies, procedures and/or protocols for the responsibilities of emergency room nurses in February 2006 at Memorial Hermann Southeast Hospital.

ANSWER: Defendant objects because this interrogatory is vague, overly broad, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

10. State the name, current address, telephone number and job description of each person who participated in the drafting or approval of the actual policies and procedures of Memorial Hermann Southeast Hospital that were in force and effect in February 2006 concerning the reporting of laboratory values from the medical laboratory to the physicians who order lab tests at Memorial Hermann Southeast Hospital in February 2006.

ANSWER: Defendant objects because this interrogatory is overly broad and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without waiving these objections, see policies and procedures previously produced and bates numbered MHSE-TG-0028 through 0035. The

policies and procedures indicate that they were reviewed/created/revised by the Quality Management Team and approved by Jim Faucett.

11. State the name, current address, telephone number and job description of each agent, servant or employee of Memorial Hermann Hospital System who has knowledge of the policies, procedures and/or protocols concerning the reporting of laboratory values from the medical laboratory to the emergency room at Memorial Hermann Southeast Hospital in February 2006.

ANSWER: Defendant objects because this interrogatory is overly broad, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

- 12. State the name, current address, telephone number and job description of each person who participated on behalf of Memorial Hermann Hospital System in negotiating the contract between Memorial Hermann Hospital System and any of the following entities for providing physician emergency room staffing at various Memorial Hermann hospitals:
 - a. Memorial Southeast Emergency Physicians, LLP;
 - b. Memorial Northwest Emergency Physicians, LLP;
 - c. Memorial Southwest Emergency Physicians, LLP
 - d. Memorial City Emergency Physicians, LLP.

ANSWER: Defendant objects because this interrogatory and its subparts fail to state a time reference. Defendant further objects because this interrogatory is multifarious and contains subparts that are considered separate interrogatories.

Subject to and without waiving these objections,

- a. See agreement together with amendments produced with Defendant's response to Plaintiffs' Request for Production No. 3.
- b. See agreement together with amendments produced with Defendant's response to Plaintiffs' Request for Production No. 5.
- c. See agreement together with amendments produced with Defendant's response to Plaintiffs' Request for Production No. 4.
- d. See agreement together with amendments produced with Defendant's response to Plaintiffs' Request for Production No. 6.
- 13. State the name, last known address, and telephone number of the physician who was the director of the emergency department at Memorial Hermann Hospital System during

February 2006.

ANSWER: Dr. Derrick Caraway was the medical director of the Emergency Department in February 2006.

14. State whether or not computer software from Emergency Consultants, Inc. was installed on any computers in the emergency room at Memorial Hermann Southeast Hospital in February 2006.

ANSWER: Defendant objects because this interrogatory is vague as to "software from Emergency Consultants, Inc."

Subject to and without waiving this objection, Defendant believes that in February 2006 computers in the Emergency Department at Memorial Hermann Southeast Hospital contained computer software owned or created by Emergency Consultants, Inc. However, the computers no longer contain ECI software.

15. If such software was installed on any computers, then state the name, address and telephone number of the person with Memorial Hermann Southeast Hospital who would have personal knowledge of the installation and use of such software.

ANSWER: Defendant objects because this interrogatory is harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence. Furthermore, this interrogatory is multifarious and considered a separate subpart from No. 14 above. Therefore, this interrogatory is No. 15 making the following interrogatories incorrectly numbered.

15.[sic] State the name, current address, telephone number and job description of each person who participated in the drafting or approval of the actual policies and procedures of Memorial Hermann Southeast Hospital that were in force and effect in February 2006 concerning call-back procedures for the emergency department at Memorial Hermann Southeast Hospital. (The term "call-back" procedure is any procedure by which a person who is discharged from the hospital before the results of laboratory data are completed and/or reviewed by physicians or nursing staff is notified of abnormal laboratory results and of the need to seek further medical follow-up, including, but not limited to returning to the emergency department.)

ANSWER: Defendant objects because this interrogatory is overly broad in scope, harassing and unduly burdensome. Defendant objects to the term "call back" as defined by plaintiffs. Defendant further objects because as worded, this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without waiving these objections, Defendant cannot identify and maintains no records regarding every person who reviewed, consulted or commented on a policy and procedure. The policy and procedure reflects that it was approved by Jim Faucett. See policies and procedures previously produced

and bates numbered MHSE-TG-0028 through 0031 and MHSE-TG-0032 through 0035.

16.[sic] State the name, current address, telephone number and job description of each person who participated in the drafting or approval of the actual policies and procedures of Memorial Hermann Southeast Hospital that were in force and effect in February 2006 concerning what laboratory tests results were considered as "critical values" by Memorial Hermann Southeast Hospital in February 2006.

ANSWER: Defendant objects because this interrogatory is overly broad in scope, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without waiving these objections, the laboratory "critical values" are determined by Quality Management Team. The policy and procedure reflects that it was approved by Jim Faucett. See Critical Values List policy and procedure previously produced and bates numbered MHSE-TG-0015 through 0027.

17.[sic] State the name, current address and telephone number of each agent, servant or employee of Memorial Hermann Hospital System who has personal knowledge of the meaning of the notation "(c)" after the lymphocyte count shown on the printout of the computer screen of laboratory values for Tristan Guzman, which is attached to these interrogatories as Exhibit A.

ANSWER: Defendant objects because this interrogatory is vague, overly broad in scope, harassing, unduly burdensome, and is tantamount to a "fishing expedition" prohibited under applicable case law.

Subject to and without waiving these objections, the notation "(c)" is an abbreviation used by the software which means "comment." See computer printout documents previously produced and bates numbered MHSE-TG-0009 through 0014.

18.[sic] Please describe in detail the manner in which the computerized medical record data for Tristan Guzman is stored at both Memorial Hermann Southeast Hospital and Memorial Hermann Children's Hospital, including but not limited to the following:

ANSWER: Defendant objects to the extent this interrogatory is vague as to how computerized data is "stored." Defendant further objects because this interrogatory is multifarious and contains subparts that are considered separate interrogatories (18a-d). Defendant objects to all subparts to the extent they seek information regarding non-party Memorial Hermann Children's Hospital.

a. the name of the computer program used by the hospital for accessing the computerized medical record;

ANSWER: Defendant objects because this interrogatory is vague as to "hospital for accessing the computerized medical record."

Subject to and without waiving this objection, Sovera for Health Information Management (HIM).

b. where such data is currently being stored;

ANSWER: Defendant objects because this interrogatory is vague, overly broad, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without waiving this objection, electronic medical records are stored on network servers in Memorial Hermann Hospital System Data Center and on optical disk.

c. whether it is possible to make a copy of such data (including the software needed to view the data) that can be accessed on a Microsoft Windows based personal computer;

ANSWER: Defendant objects because this interrogatory is vague, overly broad, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence. Defendant also objects to the extent this interrogatory seeks access to information protected by HIPAA.

Subject to and without waiving these objections, the data stored on the network services and on the optical disks are unalterable and cannot be copied.

d. the location of any computer on which the computerized medical record could be viewed and printed out.

ANSWER: Defendant objects because this interrogatory is vague, overly broad, harassing and unduly burdensome. Defendant further objects because this interrogatory seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

Subject to and without waiving this objection, there is no computer outside Memorial Hermann Hospital System's network on which the computerized medical record could be viewed and printed out.

UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

WENDY GUZMAN, INDIVIDUALLY	§	
AND AS NEXT FRIEND OF TRISTAN	§	
GUZMAN, A MINOR	§	
	§	
v.	§	C.A. No. 07-03973
	§	
MEMORIAL HERMANN HOSPITAL	§	
SYSTEM, D/B/A MEMORIAL	§	
HERMANN SOUTHEAST HOSPITAL	§	

VERIFICATION

TO THE HONORABLE JUDGE OF SAID COURT:

Memorial Hermann Hospital System d/b/a Memorial Hermann Southeast Hospital ("Memorial Hermann"), Defendant in the above-entitled and numbered cause, files its Answers and Objections to Plaintiff's Interrogatories pursuant to Federal Rules of Civil Procedure 33 and states that the Answers to Interrogatories are true and correct to the best of its knowledge.

Barbara Durham

Authorized Representative of Memorial Hermann Hospital System

THE STATE OF TEXAS §

8

COUNTY OF HARRIS

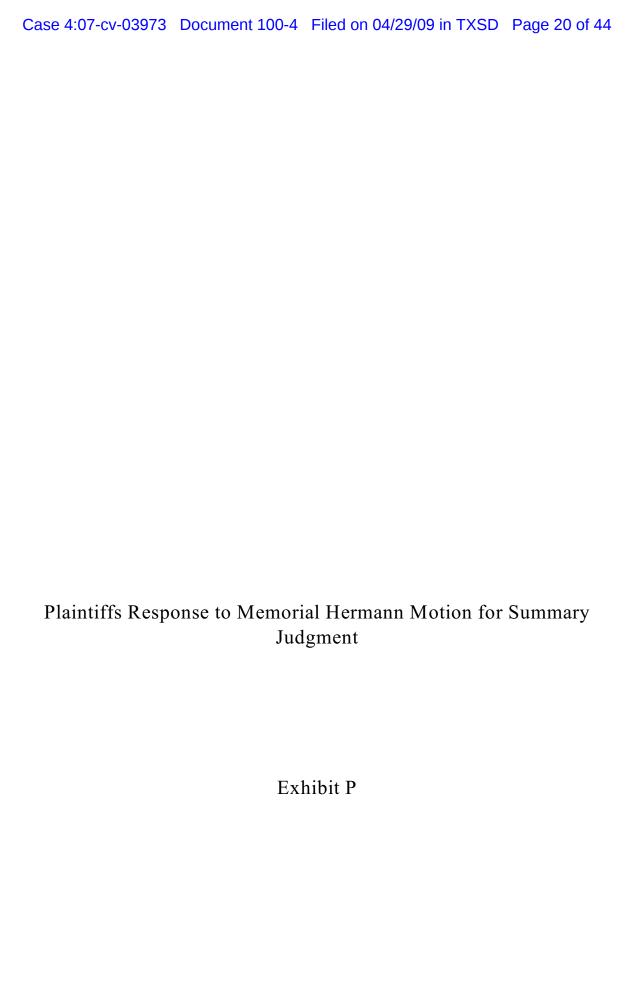
BEFORE ME, the undersigned authority, on this day personally appeared BARBARA DURHAM, authorized representative of Memorial Hermann Hospital System, by me being duly sworn stated that the answers to the following Interrogatories are true and correct to the best of her knowledge.

SWORN TO AND SUBSCRIBED BEFORE ME by the said BARBARA DURHAM on the 23 day of July, 2008, to certify which witness my hand and seal of office.

KATHY W. STOCKTON
Hotory Public,
Hotor of leasts
Comm. Exp. 02-13-1

Notary Public in and for Harris County, TEXAS

My Commission Expires: 02-13-12



SMYSER KAPLAN & VESELKA, L.L.P.

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April 23, 2009

Mr. Phillip A. Pfeifer Phillip A. Pfeifer, P.C. 5216 Jackson Street Houston, TX 77004

Re: CA No. 07-03973; Wendy Guzman vs. Memorial Hermann Hospital System; In the United States District Court, Southern District of Texas, Houston Division

Dear Phil:

Enclosed is Memorial Hermann Hospital System's Responses and Objections to Plaintiffs' Second Set of Interrogatories and Third Requests for Production served pursuant to the Federal Rules of Civil Procedure.

Sincerely,

Chris Bryan

ChrisBry

CAB:rg Encl.

cc:

Mr. Charles Brennig, III The Henke Law Firm, LLP

3200 Southwest Freeway, 34th Floor

Houston, Texas 77027

UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

WENDY GUZMAN, INDIVIDUALLY \$
AND AS NEXT FRIEND OF T., A \$
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MEMORIAL HERMANN HOSPITAL \$
SYSTEM, D/B/A MEMORIAL \$
HERMANN SOUTHEAST HOSPITAL \$

MEMORIAL HERMANN HOSPITAL SYSTEM d/b/a MEMORIAL HERMANN SOUTHEAST HOSPITAL'S ANSWERS AND OBJECTIONS TO PLAINTIFFS' SECOND SET OF INTERROGATORIES AND THIRD REQUESTS FOR PRODUCTION

TO: Plaintiffs, Wendy Guzman, individually and as next friend of Tristan Guzman, a minor, by and through their attorney of record, Phillip Pfeifer, Phillip A. Pfeifer, P.C., 5216 Jackson Street, Houston, TX 77004.

Defendant Memorial Hermann Hospital System d/b/a Memorial Hermann Southeast Hospital ("Memorial Hermann"), pursuant to Rules 33 and 34 of the Federal Rules of Civil Procedure, serves its Answers and Objections to Plaintiffs' Second Set of Interrogatories and Third Requests for Production.

Respectfully submitted,

SMYSER KAPLAN & VESELKA, L.L.P.

Craig Smyser

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ATTORNEYS FOR DEFENDANT

MEMORIAL HERMANN HOSPITAL SYSTEM d/b/a MEMORIAL HERMANN SOUTHEAST

HOSPITAL

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing instrument has been mailed postage prepaid, certified mail, return receipt requested to the attorneys of record in the above referenced matter, on this 24th day of April, 2009.

Christina A. Bryan

ANSWERS AND OBJECTIONS TO SECOND SET OF INTERROGATORIES

1. Describe in detail each policy or procedure of Memorial Hermann Southeast Hospital that was in force and effect as of February 12, 2006 concerning what Memorial Hermann Southeast Hospital considered to be its requirements for an "appropriate medical screening examination" under EMTALA for patients similar to TG.

ANSWER: Defendant objects because this interrogatory is unduly burdensome and duplicative of other discovery requests. Defendant has produced its policy that is responsive to this interrogatory.

Subject to and without waiving these objections, Defendant Memorial Hermann Southeast Hospital does not have a symptom-specific policy for patients similar to TG

See policy previously produced bates numbered MHSE-TG-0139 – 0142a. See also deposition testimony of Tom Flanagan.

- 2. As to each such policy and procedure that is described in answer to interrogatory number 1, please state the following:
 - a. whether each such policy or procedure was in writing;
 - b. if such policy was in writing, please identify the document and attach a copy of such document to your answers to interrogatories;
 - c. if such policy or procedure was not in writing, then please state the name, address and phone number of each person who has personal knowledge of the adoption of such an unwritten policy by the hospital;
 - d. if such policy or procedure was not in writing, then please state the name, address and phone number of each person who has personal knowledge of how physicians working in the emergency department as of February 12, 2006 were informed of the existence of such a policy.

ANSWER: See answer to No. 1 above.

3. In February 2006, Did [sic] Memorial Hermann Southeast Hospital have any standard requirement for the types of lab and/or imaging tests that were required during the performance of a medical screening examination for a pediatric patient who presented to the emergency department at Memorial Hermann Southeast Hospital complaining of vomiting?

ANSWER: Defendant objects to answering this interrogatory because it exceeds the number of interrogatories allowed under Rule 33(a) of the Federal Rules of Civil Procedure in that these interrogatories and others previously propounded constitute more that 25 written interrogatories when each discrete subpart of an interrogatory is considered a separate interrogatory. Defendant further objects because this interrogatory is vague as to "standard requirement" and is overly broad.

- 4. As to each such policy and procedure that is described in answer to interrogatory number 3, please state the following:
 - a. whether each such policy or procedure was in writing;
 - b. if such policy was in writing, please identify the document and attach a copy of such document to your answers to interrogatories;
 - c. if such policy or procedure was not in writing, then please state the name, address and phone number of each person who has personal knowledge of the adoption of such an unwritten policy by the hospital;
 - d. if such policy or procedure was not in writing, then please state the name, address and phone number of each person who has personal knowledge of how physicians working in the emergency department as of February 12, 2006 were informed of the existence of such a policy.
- ANSWER: Defendant objects to answering this interrogatory because it exceeds the number of interrogatories allowed under Rule 33(a) of the Federal Rules of Civil Procedure in that these interrogatories and others previously propounded constitute more that 25 written interrogatories when each discrete subpart of an interrogatory is considered a separate interrogatory. Defendant further objects to the term "policy and procedure" as vague and ambiguous, and the interrogatory assumes facts not in evidence.
- 5. State the name, address and phone number of the "site medical director" who approved the Emergency Center Triage Guidelines that have been identified as Bates Nos. MHSE-TG-0287, 0288 and 0289.
- ANSWER: Defendant objects to answering this interrogatory because it exceeds the number of interrogatories allowed under Rule 33(a) of the Federal Rules of Civil Procedure in that these interrogatories and others previously propounded constitute more that 25 written interrogatories when each discrete subpart of an interrogatory is considered a separate interrogatory. Defendant further objects that the interrogatory assumes facts not in evidence.
- 6. Please identify by date and author what "protocols" are referred to in paragraph 3.6 of the Memorial Hermann Healthcare System "Triage Policy", EMC-00005, Bates Nos. MHSE-TG-0290, 0291 and 0292. Please attach a true and correct copy of all such policies and procedures to your answers to this discovery request, and consider this to be a request for production.
- ANSWER: Defendant objects to answering this interrogatory because it exceeds the number of interrogatories allowed under Rule 33(a) of the Federal Rules of Civil Procedure in that these interrogatories and others previously propounded constitute more that 25 written interrogatories when each discrete subpart of an interrogatory is considered

a separate interrogatory. Defendant further objects that the interrogatory assumes facts not in evidence.

7. What does Memorial Hermann Hospital System contend is its corporate policy concerning what was required to provide an "appropriate medical screening examination" that complied with EMTALA in February 2006?

ANSWER: Defendant objects to answering this interrogatory because it exceeds the number of interrogatories allowed under Rule 33(a) of the Federal Rules of Civil Procedure in that these interrogatories and others previously propounded constitute more that 25 written interrogatories when each discrete subpart of an interrogatory is considered a separate interrogatory.

8. With regard to your answer to interrogatory number 7, state the name, address and telephone number of each person who has personal knowledge of the consideration and/or approval of such policy by the governing body of the Memorial Hermann Hospital System.

ANSWER: Defendant objects to answering this interrogatory because it exceeds the number of interrogatories allowed under Rule 33(a) of the Federal Rules of Civil Procedure in that these interrogatories and others previously propounded constitute more that 25 written interrogatories when each discrete subpart of an interrogatory is considered a separate interrogatory. Defendant further objects to this interrogatory as vague, overly broad, unduly burdensome, and tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this interrogatory assumes facts not in evidence and seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

9. For each person identified in answer to interrogatory number 8, please state in general what role each such person had in the consideration and/or approval of such policy by the governing body of the Memorial Hermann Hospital System.

ANSWER: Defendant objects to answering this interrogatory because it exceeds the number of interrogatories allowed under Rule 33(a) of the Federal Rules of Civil Procedure in that these interrogatories and others previously propounded constitute more that 25 written interrogatories when each discrete subpart of an interrogatory is considered a separate interrogatory. Defendant further objects to this interrogatory as vague, overly broad, unduly burdensome, and tantamount to a "fishing expedition" prohibited under applicable case law. Defendant further objects because this interrogatory assumes facts not in evidence and seeks information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence.

UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

WENDY GUZMAN, INDIVIDUALLY
AND AS NEXT FRIEND OF TRISTAN
GUZMAN, A MINOR

\$

C.A. No. 07-03973

MEMORIAL HERMANN HOSPITAL SYSTEM, D/B/A MEMORIAL HERMANN SOUTHEAST HOSPITAL

v.

VERIFICATION

§

TO THE HONORABLE JUDGE OF SAID COURT:

Memorial Hermann Hospital System d/b/a Memorial Hermann Southeast Hospital ("Memorial Hermann"), Defendant in the above-entitled and numbered cause, files its Answers and Objections to Plaintiff's Second Set of Interrogatories pursuant to Federal Rules of Civil Procedure 33 and states that the Answers to Interrogatories are true and correct to the best of its knowledge.

Barbara Durham

Authorized Representative of Memorial Hermann Hospital System

THE STATE OF TEXAS §
COUNTY OF HARRIS §

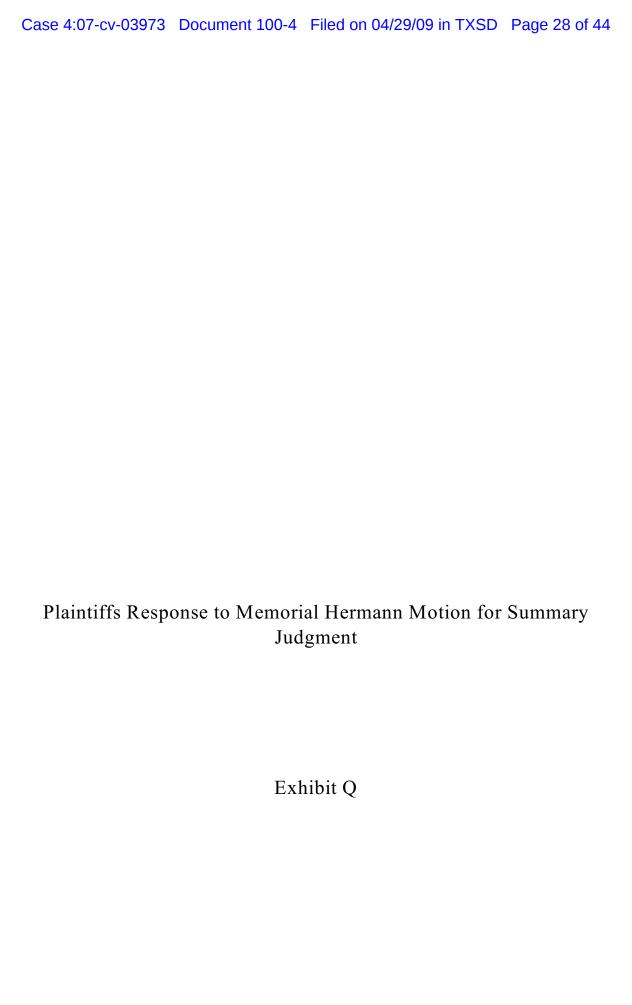
BEFORE ME, the undersigned authority, on this day personally appeared BARBARA DURHAM, authorized representative of Memorial Hermann Hospital System, by me being duly sworn stated that the answers to the following Interrogatories are true and correct to the best of her knowledge.

SWORN TO AND SUBSCRIBED BEFORE ME by the said BARBARA DURHAM on the 23 day of April, 2009, to certify which witness my hand and seal of office

F. KAY SANDIFER
Notary Nuble,
Shall of Pezas
Comm. Esp. 01-17-12

Notary Public in and for Harris County, TEXAS

My Commission Expires: 01-17-12



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IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

WENDY GUZMAN, INDIVIDUALLY:

NEXT FRIEND OF

GUZMAN, A MINOR

:

V. : CIVIL ACTION NO. 07-3973

:

MEMORIAL HERMANN HOSPITAL: SYSTEM, D/B/A MEMORIAL: HERMANN SOUTHEAST HOSPITAL:

ORAL AND VIDEOTAPED DEPOSITION OF TAMMY McCRUMB
March 12, 2009

* * * * * * * * * * * * * *

ORAL/VIDEOTAPED DEPOSITION OF TAMMY McCRUMB, produced as a witness at the instance of the Plaintiff, and duly sworn, was taken in the above-styled and numbered cause on the 12th day of March, 2009, from 10:10 a.m. to 12:55 p.m, before Gretchen C. Dowda, CSR in and for the State of Texas, reported by machine shorthand, at the law offices of Smyser, Kaplan & Veselka, L.L.P., 700 Louisiana Street, Suite 2300, Houston, Texas 77002 pursuant to the Federal Rules of Civil Procedure and the provisions stated on the record or attached hereto.

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1	a triage nurse, of course.	1	A. Yes, sir.
2	Q. Obviously. Now, what would the name be	2	Q. When did you first encounter that document
3	used what would the terminology be for the nurse	3	in connection with this case? That's Exhibit 4.
4	that is in the room with the patient, taking care of	4	A. Well, I have that document is in a
5	a particular patient or a couple of patients?	5	notebook in the triage area at Southeast.
6		6	Q. Okay. Did you have some role in locating
7	A. Probably just be a primary nurse.	7	that document?
	Q. Primary nurse? A. Uh-huh.	8	
8		l .	A. Yes, sir, I did.
9	Q. Primary care nurse?	9	Q. And did you basically at someone's request
10	A. Primary care nurse.	10	go into the E.R. in the triage area and look through
11	Q. Okay. Have you done both of those kinds of	11	the notebook that is contained there to try to
12	jobs there at Memorial Southeast by February of 2006?	12	identify that document?
13	A. Yes.	13	A. Yes, sir.
14	Q. So were you familiar then with both the	14	Q. Okay. How long ago was it that you did
15	triage process and also what were the	15	that?
16	responsibilities of an E.R. nurse as a primary care	16	A. Less than a month ago.
17	nurse in the E.R. at Memorial Southeast back at that	17	Q. Okay. We'll talk about this in detail
18	time?	18	sometime later in the course of this deposition. But
19	A. Yes.	19	is Exhibit 4 a true and correct copy of the document
20	Q. Okay. Have you met with Ms. Bryan prior to	20	that is entitled Emergency Center Triage Guidelines?
21	the deposition?	21	A. Yes, sir.
22	A. Yes.	22	Q. Okay.
23	Q. Have you reviewed any documents in	23	MS. BRYAN: Well, she would have to
24	preparation for your deposition?	24	review it line by line; but there is no reason to
25	A. Yes, I have.	25	suspect that it's not.
	Page 11		Page 13
1	Q. And did you bring those with you?	1	It appears to be the same document.
2	A. Yes, I did.	2	Has the same Bates numbers.
3	Q. May I see what you brought with you,	3	Q. (By Mr. Pfeifer) All right. Is there a
4	please. Looks to me that what you have reviewed is	4	title to the book that you looked in to find Exhibit
5	the emergency room chart from February the 12th of	5	4?
6	'06, the emergency room chart from February the 13th	6	A. No, sir.
7	of '06, and the Life Flight record with regard to	7	Q. What is otherwise contained in that book
8	February 13th of '06.	8	besides Exhibit 4?
9	A. Correct.	9	A. It has just some other basic information as
10	Q. Have you reviewed any policies and	10	far as our treatment of fevers. It has the policies
11	procedures of Memorial?	11	as far as the five-tier triage system. And then I
12	A. Yes.	12	believe that there is just some other random magazine
13	MS. BRYAN: I just realized that I had	13	articles and what not in there as far as related to
14	them in my hand.	14	different CDC guidelines.
15	Q. (By Mr. Pfeifer) Okay. All right. Very	15	Q. I'm sorry, did you say "CBC"?
16	good.	16	A. CDC.
17	A. Okay.	17	Q. Centers for Disease Control Guidelines?
18	Q. Thank you. I will give that to you.	18	A. Correct.
19	Now, that last document that you had, is that the same document as what I have marked as	19 20	Q. And do you know what they relate to?
20			A. I believe there is something in there
21	Exhibit 4 except for the transmittal letter that's on	21	related to tuberculosis, SARS, that kind of things,
22	the front?	22	just as far as signs and symptoms to watch out for.
23	A. Yes, sir.	23	Q. Okay. To your knowledge was Exhibit 4 a
24 25	Q. Are you familiar with that document, which	24	set of guidelines that were in force and effect back
ノカ	is Exhibit 4?	25	in February of 2006?

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1	MS. BRYAN: Objection, form. This	1	protocols, would the protocols that are contained in
2	witness can testify that these guidelines were on the	2	Exhibit 4 be the ones you were looking at?
3	premises at the hospital. As you know, we do not	3	A. I may not specifically always look at the
4	believe that they were. They certainly didn't come	4	protocols. It becomes a memory, you know, part of
5	up as being in our policies and guidelines that were	5	your education and your you know, I may not
6	in force and effect, but I'm happy for her to testify	6	specifically go back to look at the protocols every
7	to the fact that they were in the notebook, she	7	time I needed to think about them or to apply the
8	referred to them, whatever whatever route you want	8	protocols to a patient.
9	to take but	9	Q. Okay. Was the book from which you obtained
10	Q. (By Mr. Pfeifer) All right. Were the	10	Exhibit 4 a book that was available for all of the
11	documents, Exhibit 4, in the notebook at the hospital	11	nursing staff at the emergency department at Memorial
12	in February of '06?	12	Southeast to refer to?
13	A. To my best recollection, yes.	13	A. Yes, sir, it was located in triage.
14	Q. Okay. Had you received any kind of	14	Q. Was there someone who was in charge of the
15	training at Memorial Southeast with regard to what I	15	contents or update of the book?
16	would call "protocols"?	16	A. I cannot answer that question. I don't
17	MS. BRYAN: Objection, form.	17	know.
18	You can answer if you understand the	18	Q. If I were to go out to Memorial Southeast
19	question. I just make my objections for the record.	19	right now, where would I find the book?
20	A. Okay. No specific training, I wouldn't	20	A. The last I saw it, it was located in
21	say.	21	triage.
22	Q. (By Mr. Pfeifer) Okay. Well, then tell	22	Q. Okay. And the last you saw it, was Exhibit
23	me, if it was not specific training	23	4 still in the book?
24	A. Okay.	24	A. Yes, sir.
25	Q how you became familiar with the concept	25	Q. Do you know Frank Blain?
	Page 15		Page 17
1	of protocols out there at the hospital.	1	A. Yes.
2	A. As part of	2	Q. How is it that you know Frank Blain?
3	MS. BRYAN: Objection, form.	3	A. I know him on a professional level from
4	A. As part of my orientation process there	4	working with him.
5	whenever I became a nurse, it was just, you know, in	5	Q. Did he sometimes work in the E.R.?
6	your discussion with your preceptor, you know, we	6	A. Yes, sir.
7	have set protocols, these are the protocols. I mean,	7	Q. Did he work in other areas of the hospital
8	it wasn't specifically education as far as, with this	8	as well?
9	particular complaint, we do this. It was just noted	9	A. I believe he also worked in the cardiac
10	that they were there.	10	cath lab.
11	Q. All right. So as part of your orientation	11	Q. Do you know whether or not Frank Blain had
12	at Memorial Southeast with your preceptor that is,	12	ever received any training about protocols in the
13	the person who was instructing you or training you to	13	E.R.?
14	be an E.R. nurse there was discussion about	14	A. I do not know.
15	protocols?	15	Q. Did you ever have to take any continuing
16	A. Yes.	16	education or in-service updates at Memorial Southeast
17	Q. Okay. And in that discussion about	17	as an emergency nurse?
18	protocols did they bring up Exhibit 4?	18	A. Yes.
19	A. Not that I can recall.	19	Q. And in those in-services did it come up to
20	Q. Okay. But on prior occasions before	20	discuss protocols about different patient conditions
21	Guzman came into the emergency department,	21	or complaints?
22	did you have occasion to refer to protocols in the	22	A. Not that I can recall.
23	E.R.?	23	Q. I want to shift gears now and ask you if at
24	A. Yes, sir.	24	any time after Guzman was in the E.R. on
25	Q. And when you would refer to those	25	February the 13th of 06 you ever filled out any kind

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Page 18 Page 20 1 1 of an incident report. without giving him any antibiotics on the 12th? 2 2 A. No, sir. A. No, sir. 3 Q. Did it get that detailed at all? O. Did you ever initiate any kind of complaint 3 with anyone about what happened in the E.R. with 4 A. No, sir, not at all. 5 5 Q. Okay. How about the discussion with regard to Guzman? 6 6 Dr. Siddiqi? How did that come about? A. No, sir. 7 Q. Were you personally upset about what 7 A. I believe I worked with Dr. Siddiqi the 8 8 happened to next day and he was informed as to -- you know, I'm 9 MS. BRYAN: Objection, form. 9 not sure who he spoke to; but he initiated I believe a conversation just asking, you know, what exactly A. What exactly are you asking? 10 10 Q. (By Mr. Pfeifer) Well, were you 11 had happened as far as his transfer. 11 emotionally upset about his condition at the Q. Okay. Who is Dr. Nguyen? 12 12 13 emergency department? 13 A. Dr. Nguyen is another E.R. physician who A. Yes, I was upset at how critical, how sick 14 had come on duty that evening. 14 15 15 Q. Was Dr. Siddiqi still on duty at the time he was. 16 16 Q. Did you ever cry about it? temperature spiked? that 17 A. After the fact, yes. 17 A. I apologize. Let me correct my statement Q. Did you ever ask yourself the question 18 from earlier. Dr. Nguyen had been on duty from 18 after the fact, "Could I have done more"? 19 around -- earlier that day. He was -- he and 19 20 20 Dr. Siddiqi were on duty at the same time. I just A. Of course. 21 21 Q. Did you ever make any kind of complaint to recalled that. 22 anyone at the hospital, your immediate supervisor, or 22 Can you repeat your question for me? 23 23 up the chain of command of the nurses about what I'm sorry. in the E.R. there on the 13th? 24 happened to 24 Q. Okay. I'm trying to find out about why it 25 25 was that Dr. Nguyen got involved and whether or not A. No, sir. Page 19 Page 21 O. Did vou ever discuss with anyone after 1 Dr. Siddiqi was still present in the E.R. when 1 2 2 left the hospital on February 13th how he fever spiked. 3 3 could have gotten so ill? MR. BRENNIG: Object to speculation. A. I had a discussion -- I couldn't tell you 4 Q. (By Mr. Pfeifer) Go ahead. 4 A. Dr. Siddiqi had gone off duty, I believe. 5 how it -- within a week or so afterwards with 5 Dr. Haynes and Dr. Siddigi both as far as how his --6 6 Q. Okay. And when you say "gone off duty," 7 if they had heard how he was doing. 7 had he left the premises or --8 8 Q. How did that come up? A. Yes, sir. 9 A. Just in seeing them and, you know, just 9 Q. Okay. In other words, it was the end of 10 randomly asking if -- you know, if they had heard how 10 his shift and he just left? 11 A. Yes, sir. 11 he was doing. Q. In your discussion with Dr. Haynes, was 12 12 Q. And Dr. Nguyen was to take over as the E.R. Dr. Siddiqi also present? 13 13 physician? A. I don't believe so. 14 14 A. I can't really answer that. I don't know 15 15 Q. Was this just a chance encounter where you how he had turned the patient over, if he had were working the same shift as Dr. Haynes and you 16 16 specifically spoken with Dr. Nguyen. brought up the issue about 17 Q. Well, when you talked to Dr. Nguyen, what 17 Guzman's was it that caused you to try to talk to Dr. Nguyen? 18 condition? 18 19 19 A. I believe we worked together shortly after A. I had gone in to recheck vital signs and 20 20 that and he -- he and I just had -- I mean, it was retake a temperature. And his temperature was 21 just a chance conversation, you know, just asking, 21 elevated. And I had walked out of the room. The 22 22 have you heard how he's doing. I can't recall the charge nurse's desk is right there just as I walked 23 specifics as to what exactly was said. 23 out of the room. And I told her that I needed Q. Was there any discussion with Dr. Haynes 24 24 Dr. Siddigi because the patient's temperature was about Dr. Haynes' decision to send 25 elevated.

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	Page 20		Dama 22
	Page 30		Page 32
1	something that has to be done by the nurse actually	1	A. That is a patient's subjective I'm
2	measuring the pulse rate?	2	sorry, yes, subjective view of pain score. So the
3	A. The monitor will generate it.	3	patient themselves usually tells you the pain score,
4	Q. Okay. How about the respiratory rate? Is	4	unless it is a preverbal child or a child that
5	that something that the monitor will generate or is	5	doesn't understand the pain score. And then we use
6	that something that the nurse measures?	6	the Wong Wong Basic Pain Score.
7	A. It's the nurse measurement.	7	Q. Okay. Can you tell me how many I guess
8	Q. Okay. And with regard to respiration rate,	8	we can just count to find the number of times that
9	how would a nurse measure the rate of respiration?	9	the vital signs were taken while was in the
10	A. Routinely, you look at the time on your	10	emergency department on the 13th, correct?
11	watch and you count respirations over a 15-second	11	A. Yes.
12	period and then multiply them times four.	12	Q. Okay.
13	Q. Okay. And that's what would be recorded	13	MS. BRYAN: Objection, form.
14	within the column under respirations?	14	Q. (By Mr. Pfeifer) With regard to the taking
15	A. Yes.	15	of the vital signs, what is it that dictates how
16	Q. Okay. Next column is temperature. Tell me	16	frequently the vital signs are supposed to be taken
17	how temperature would be measured.	17	with regard to a particular patient?
18	A. With a thermometer.	18	MS. BRYAN: Objection, form.
19	Q. Is that part of the monitor?	19	A. The guidelines are roughly every two hours
20	A. No, sir.	20	for vital signs to be taken. If a patient is more
21	Q. Is that a digital thermometer?	21	critical, then you would take them more frequently
22	A. Yes.	22	based on your nursing judgment.
23	Q. And if one is using the digital	23	Q. (By Mr. Pfeifer) Okay. What guidelines
24	thermometer, can you use it to obtain a rectal	24	are you referring to?
25	temperature?	25	A. Most emergency rooms operate off of the
	Page 31		Page 33
1	A. You use a different thermometer set for	1	ENA, the Emergency Nursing Association standard
2	it.	2	guidelines.
3	Q. Okay. Would that also be a digital	3	Q. Okay. Did they have the Emergency Nurses
4	thermometer for a rectal temperature?	4	Association guidelines present out there at Memorial
5	A. Yes.	5	Southeast?
6	Q. So each time there is a record made of	_ ا	
		6	A. I do not know.
7		6 7	A. I do not know.
7 8	temperature in this column on this page, that would	l .	A. I do not know. Q. Okay. Your understanding, though, was that
		7	A. I do not know.
8 9 10	temperature in this column on this page, that would be a temperature that is manually obtained by the	7 8	A. I do not know. Q. Okay. Your understanding, though, was that the Memorial Southeast Emergency Department also
8 9 10	temperature in this column on this page, that would be a temperature that is manually obtained by the nurse by measurement with a thermometer?	7 8 9	A. I do not know. Q. Okay. Your understanding, though, was that the Memorial Southeast Emergency Department also followed those Emergency Nursing Association
8 9 10 11 12	temperature in this column on this page, that would be a temperature that is manually obtained by the nurse by measurement with a thermometer? A. Yes.	7 8 9 10	A. I do not know. Q. Okay. Your understanding, though, was that the Memorial Southeast Emergency Department also followed those Emergency Nursing Association guidelines?
8 9 10 11	temperature in this column on this page, that would be a temperature that is manually obtained by the nurse by measurement with a thermometer? A. Yes. Q. Okay. Pulse ox is a readout that is	7 8 9 10	A. I do not know. Q. Okay. Your understanding, though, was that the Memorial Southeast Emergency Department also followed those Emergency Nursing Association guidelines? A. It's my assumption, yes.
8 9 10 11 12	temperature in this column on this page, that would be a temperature that is manually obtained by the nurse by measurement with a thermometer? A. Yes. Q. Okay. Pulse ox is a readout that is generated by a monitor? A. Yes.	7 8 9 10 11 12	A. I do not know. Q. Okay. Your understanding, though, was that the Memorial Southeast Emergency Department also followed those Emergency Nursing Association guidelines? A. It's my assumption, yes. Q. Okay. And that's based on your having
8 9 10 11 12 13	temperature in this column on this page, that would be a temperature that is manually obtained by the nurse by measurement with a thermometer? A. Yes. Q. Okay. Pulse ox is a readout that is generated by a monitor? A. Yes. Q. Basically a clip is put over the end of the	7 8 9 10 11 12 13	A. I do not know. Q. Okay. Your understanding, though, was that the Memorial Southeast Emergency Department also followed those Emergency Nursing Association guidelines? A. It's my assumption, yes. Q. Okay. And that's based on your having worked out there for many years?
8 9 10 11 12 13	temperature in this column on this page, that would be a temperature that is manually obtained by the nurse by measurement with a thermometer? A. Yes. Q. Okay. Pulse ox is a readout that is generated by a monitor? A. Yes.	7 8 9 10 11 12 13	A. I do not know. Q. Okay. Your understanding, though, was that the Memorial Southeast Emergency Department also followed those Emergency Nursing Association guidelines? A. It's my assumption, yes. Q. Okay. And that's based on your having worked out there for many years? A. Correct.
8 9 10 11 12 13 14 15	temperature in this column on this page, that would be a temperature that is manually obtained by the nurse by measurement with a thermometer? A. Yes. Q. Okay. Pulse ox is a readout that is generated by a monitor? A. Yes. Q. Basically a clip is put over the end of the finger that shines a light through the finger?	7 8 9 10 11 12 13 14 15	A. I do not know. Q. Okay. Your understanding, though, was that the Memorial Southeast Emergency Department also followed those Emergency Nursing Association guidelines? A. It's my assumption, yes. Q. Okay. And that's based on your having worked out there for many years? A. Correct. Q. Do you know where I could find a copy of these guidelines if I wanted to go look at them with
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8 9 10 11 12 13 14 15 16 17 18 19 20 21	temperature in this column on this page, that would be a temperature that is manually obtained by the nurse by measurement with a thermometer? A. Yes. Q. Okay. Pulse ox is a readout that is generated by a monitor? A. Yes. Q. Basically a clip is put over the end of the finger that shines a light through the finger? A. Yes. Q. And there is a measure of the oxygenation that comes into the monitor, correct? A. Correct. Q. Okay. Weight. Is the patient initially weighed?	7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	A. I do not know. Q. Okay. Your understanding, though, was that the Memorial Southeast Emergency Department also followed those Emergency Nursing Association guidelines? A. It's my assumption, yes. Q. Okay. And that's based on your having worked out there for many years? A. Correct. Q. Do you know where I could find a copy of these guidelines if I wanted to go look at them with regard to how frequently vital signs should be taken? A. You may be MS. BRYAN: Form. A able to go to the ENA website and find them.
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03/12/09 Page 34 Page 36 Q. Okay. In one of the policies and 1 1 Q. (By Mr. Pfeifer) Let me ask you this: Did procedures that I previously reviewed in this case, 2 2 you document the vital signs that were taken with 3 3 there was some document or book that was called regard to Guzman? Standards of Care for Emergency Practice. 4 MS. BRYAN: Objection, form. 5 5 A. The vital signs that I documented that I A. Okav. Q. I took the deposition of a Mr. Flanagan. 6 6 took. I took. 7 Do you know who Tom Flanagan is? 7 Q. (By Mr. Pfeifer) Okay. Let me reask it, 8 8 then. With regard to the vital signs that you 9 Q. Okay. I took the deposition of Mr. 9 recorded in the chart did you believe that you were Flanagan and he was referring in his deposition as I following hospital documentation policy when you 10 10 recall to like a three-ring binder of standards of 11 recorded these items in the chart? 11 care that was present in the E.R. Are you familiar 12 12 A. Yes. 13 with any such binder? 13 Q. And are all the items that you recorded in 14 MS. BRYAN: Objection, form. 14 the chart items that were based on your measurements 15 A. I am not specifically familiar with the 15 and observations of vital signs? binder. I am sure it does exist with the charge 16 16 MS. BRYAN: Form. 17 nurse. The charge nurse's desk has several binders 17 A. Yes. 18 18 there. Q. (By Mr. Pfeifer) Okay. I wanted to cover 19 Q. (By Mr. Pfeifer) Okay. Did you attempt to a couple of these vital signs with you. Look at 19 find any policies or procedures related to how 20 20 14:30. 21 frequently vital signs should be taken? 21 A. Yes. 22 A. No. 22 Q. It looks like you're the person that 23 23 Q. What is your understanding of the policy of obtained the vital signs. 24 the emergency department at Memorial Southeast about 24 A. Yes. 25 vital signs prior to discharge? 25 Q. Is that right? Page 35 Page 37 A. That the guidelines are for them to be 1 A. Yes. 1 2 2 taken within one hour of the patient being Q. There is a 110 and a slash and there is not 3 3 a second number there. What do you mean by 110 slash discharged. 4 Q. Okay. And what are the guidelines with 4 and not a second number? regard to the documentation of the vital signs? The 5 5 A. If I recall, we weren't able to get a true 6 discharge vital signs? diastolic reading on him whenever we were obtaining 6 7 MS. BRYAN: Form. 7 the blood pressure. And his heart rate was -- was 8 8 A. I mean, I would think that they would be fast, so that could have been the reason why. 9 documented. But specifically, you know, I mean, most 9 Q. Same thing true at 15:32? 10 patients are on the monitor and the nurse may not --10 A. Yes. 11 11 I mean, they may be taken, but they may not be Q. Okay. Systolic blood pressure was 82? 12 12 remembered to -- you know, to be documented after the 13 fact. 13 Q. But you were unable to obtain a reliable diastolic blood pressure? 14 14 Q. (By Mr. Pfeifer) And when it says to take 15 vital signs, would vital signs include all of those 15 A. Yes. was on the monitor on 16 things that are contained under the topic vital signs 16 Q. Okay. When that we discussed, the time, the blood pressure, the 17 February the 13th and you were taking care of him, 17 pulse, the respiration, the temperature, the pulse ox 18 was the monitor set up in a fashion where it would 18 19 19 and the pain? signal some sort of an alert with regard -- or an 20 20 MS. BRYAN: Form. Objection, form. alarm with regard to any of his vital signs? 21 A. Some nurses may not document or obtain all 21 A. Yes. 22 22 of the vital signs, you know, dependent upon what the Q. And what sort of alarms were set up to be 23 patients are in the emergency for -- what they are in 23

10 (Pages 34 to 37)

A. I don't specifically recall what alarms,

what the parameters of the alarms were; but there are

24

25

the emergency room for, you know, based on their

24

25

complaint.

triggered?

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1	A. Yes.	1	blood for labs, correct?
2	Q. Does it say "Protocols may be implemented	2	A. Yes.
3	based on patient acuity and available resources"?	3	Q. Can begin to administer oxygen to that
4	A. Yes.	4	patient, correct?
5	Q. Do you have an understanding of what is	5	A. Yes.
6	meant by the concept of "protocols"?	6	Q. Can obtain immediately a 12-lead
7	A. Yes.	7	electrocardiogram on the patient?
8	Q. Okay. What is your understanding as an	8	A. Yes.
9	E.R. nurse of protocols?	9	Q. Correct? And do such other things as are
10	A. My understanding on protocols is initiating	10	contained within the protocol, correct?
11	testing to be done if there is a delay to be seen by	11	MS. BRYAN: Objection, form.
12	the physician to expedite care.	12	A. Yes.
13	Q. Okay. Ordinarily if someone is going to	13	Q. (By Mr. Pfeifer) And all of that has been
14	have lab testing done, you would need a physician	14	approved in advance by the medical director of the
15	order, correct?	15	hospital, correct?
16	A. Routinely yes. But based upon our	16	A. Yes.
17	protocols that we have instituted, there is a	17	Q. What is your understanding of the idea
18	standing order for certain tests to be done based on	18	behind protocols?
19	complaints.	19	A. My understanding, excuse me, is that they
20	Q. Okay. So for an example, suppose somebody	20	are standards that most of the protocols are
21	with a lot of gray hair like me and who has a past	21	standards that are done throughout emergency rooms
22	history of having taking Lipitor, smoker, comes	22	across the country. You know, they are routine I
23	into the ER sweating, complaining of crushing chest	23	shouldn't say routine. They are standard routine
24	pain radiating down into the left arm and jaw	24	laboratory testings they would do based on
25	A. Yes.	25	complaints.
	Page 43		Page 45
1	Q would there be a protocol for that kind	1	Q. And is the idea that if the physician is
2	of patient hypothetically?	2	tied up and can't come see the patient right away,
3	A. Yes.	3	that the nurse can initiate the required work-up so
4	MS. BRYAN: Form.	4	that the data will be there as soon as possible for
5	Q. (By Mr. Pfeifer) Okay. Was there in fact	5	the physician to review to make his judgments and
6	a protocol for that kind of patient?	6	diagnosis about the patient?
7	A. Yes.	7	MS. BRYAN: Objection, form.
8	Q. And is that protocol contained within	8	A. Based on that nurse's a nurse's clinical
9	Exhibit 4 that we previously gave you?	9	judgment, if they feel that they should initiate the
10	A. Yes.	10	protocols, yes, they are there for the nurse to do
11	Q. Okay. And what it means is, that from the	11	SO.
12	moment the patient gets there, if a nurse determines	12	Q. (By Mr. Pfeifer) Okay. If have you
13	that she wants to initiate the protocol, the nurse	13	ever initiated protocols? A. Yes.
14	can begin the work-up that has been approved in the	14 15	
15 16	protocol even before a physician sees the patient? MS. BRYAN: Objection, form.	16	Q. Have you ever initiated protocols about heart attacks, for example?
17	Q. (By Mr. Pfeifer) Is that right?	17	A. Yes.
18	A. The nurse can start it based upon her	18	Q. What other kinds of protocols have you
19	clinical judgment of the patient.	19	initiated?
20	Q. Okay. So for example, in my hypothetical	20	A. I have initiated protocols on abdominal
21	about the elderly white male smoker with chest pain,	21	pain patients, on patients complaining of shortness
22	even before a doctor sees the patient, if a nurse	22	of breath, on patients pregnant patients with
23	sees that patient at triage and the patient fits that	23	vaginal bleeding. Those are the primary ones that we
24	set of complaints and physical findings, the nurse	24	obtain protocols on.
25	without further order of the doctor can begin to draw	25	Q. Stroke patients?
	and the second s		C. Strate Property.

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1	A. Stroke patients, yes, sir.	1	orders, that's just as good as a doctor's signature
2	Q. Okay. Now, with regard to Exhibit 4 is it	2	in terms of whether or not the lab has the authority
3	your understanding that this is the set of protocols	3	to process whether or not someone has the ability to
4	that may be initiated by the triage nurses at	4	draw blood, whether or not the x-ray department has
5	Memorial Southeast	5	the right to x-ray the patient?
6	MS. BRYAN: Objection.	6	A. Yes.
7	Q. (By Mr. Pfeifer) if the patient comes in	7	Q. Correct?
8	with sufficient complaints to trigger those	8	MS. BRYAN: Form.
9	protocols?	9	A. We have to enter in the physician who is
10	MS. BRYAN: Objection, form. Asking	10	approving the orders.
11	patient flow issues absent any delay in being able to	11	Q. (By Mr. Pfeifer) Yes. And all that can be
12	see the physician?	12	done in the appropriate circumstances by the nurse
13	A. Can you rephrase that for me, your	13	even before the doctor even knows about the patient?
14	question. I'm sorry.	14	MS. BRYAN: Objection, form.
15	Q. (By Mr. Pfeifer) What is your	15	A. It's dependent upon the nurse's clinical
16	understanding of what Exhibit 4 does in terms of	16	judgment and whether or not and what the patient's
17	protocols?	17	complaint is as to whether or not I'm going to
18	A. It provides the routine standard testing	18	present the patient to the physician first.
19	that can be obtained based upon a nurse's clinical	19	Q. Okay. Now, if you look at Exhibit 4 up at
20	judgment to initiate testing on a patient when there	20	the top, there is a bunch of stuff in a bunch of
21	is a delay to be seen be the doctor by a physician	21	writing that is in very small type, but
22	or provider.	22	nevertheless
23	Q. Okay. So let me give you an example.	23	MR. BRENNIG: When you get to a good
24 25	Suppose the doctor is tied up and the patient comes in	24 25	stop, can we take a break?
25		23	MR. PFEIFER: Let's stop right now.
	Page 47		Page 49
1	A. Uh-huh.	1	Good time.
2	Q and meets one of the profiles that is	2	THE VIDEOGRAPHER: We're off the
3	covered in those protocols.	3	record. It's 11:05.
4	A. Okay.	4	(Recess taken.)
5	Q. And the doctor is busy dealing with some	5	THE VIDEOGRAPHER: Back on the
6	stroke patient or heart attack patients and the nurse	6	record. It's 11:14.
7	has reason to believe that the doctor's going to be	7	Q. (By Mr. Pfeifer) I want you to look at the
8 9	tied up for some time.	8	page that has 0287 down on the bottom right corner.
10	A. Okay.	10	You with me? A. Yes.
11	Q. In that circumstance if the nurse in their clinical judgment decides to implement a protocol,	11	Q. Okay. And then up at the top, in the left
12	they can do so, correct?	12	column at the very top it discusses generally the
13	A. Yes.	13	guidelines. First of all, I want to ask you, do you
14	Q. And when you implement a protocol, how	14	see in the guidelines the statement that these
15	would that be charted?	15	"Triage guidelines may be initiated by the
16	A. Uhm I'm trying to think if we how we	16	appropriate provider in the triage area or by the
17	charted it in 2006. You would document when you	17	nurse assigned to the patient room if the patient is
18	on the order sheet that we had, you would circle what	18	brought directly back." Do you see that?
19	orders you initiated and then you would usually at	19	A. Yes.
20	the bottom sign your name and document per protocol.	20	Q. Do you agree that either the triage nurse
21	Most nurses did that. Or they just or the nurses	21	or the primary care nurse can implement a protocol if
22	just sign their name and then the time that it was	22	they believe that it is appropriate to do so?
23	had the time that they were initiated.	23	A. Yes.
24	Q. Okay. And if there has been a preapproved	24	Q. Okay. Do you see at the bottom of that
25	protocol, when the nurse signs off on entering those	25	paragraph "they," meaning these protocols "have been

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Page 58 Page 60 A. Part of nursing school we had a course Q. For example, suppose you've got a patient 1 1 2 related to documentation in a professional -- it was 2 in there and the doctor has ordered blood tests on a 3 our professional role class and then -- and I was in 3 patient and the doctor is busy, doesn't have the time an internship at UTMB whenever I graduated. And then 4 to look at the computer himself, and says to you, 5 part of that -- part of that three-month orientation 5 "Nurse Tammy, would you please go check on the labs 6 on my patient" that I'm taking care of here? 6 program was, you know, my preceptor at that time 7 would discuss documentation with me. 7 MS. BRYAN: Form. 8 8 Q. Okay. On the corporate policy with regard Q. (By Mr. Pfeifer) Ever had that happen? 9 to documentation do you see down at part 3.4.2 where 9 A. Are you asking if I looked at the results 10 10 it says "Reassessments and vital signs will be specifically and interpreted them for him? 11 11 documented as directed in the assessment and Q. (By Mr. Pfeifer) No, not interpret --12 12 reassessment policy"? A. Okay. 13 A. Yes. 13 Q. -- but simply report back to him the values 14 Q. Does that lead you to believe that 14 of the --15 documentation is required of the discharge vital 15 A. Yes. signs of the patient? 16 Q. -- lab tests? 16 17 MS. BRYAN: Objection, form. 17 A. Yes, I have done that before. 18 A. I mean, it is the guideline as far as 18 Q. Okay. Has that happened on few or many 19 documentation of discharge vital signs. 19 occasions? 20 Q. (By Mr. Pfeifer) Okay, I'm finished with 20 A. It happens frequently in that we -- you 21 21 that one. know, if they're busy, we will go in and print off 22 Are you familiar with something that I 22 the results and place them on the chart for the 23 will call aftercare or follow-up? 23 physician. 24 A. Yes. 24 Q. Okay. Is that something you routinely or 25 25 customarily will do, is go print out the lab results Q. Have you ever been in a situation where a Page 59 Page 61 patient comes to the emergency department and they 1 for the physician? 2 are seen by the E.R. physician and lab tests are 2 MS. BRYAN: Objection, form. A. I don't routinely do it. 3 ordered on the patient, but for whatever reason the 3 Q. (By Mr. Pfeifer) Okay. What would bring patient has to leave the emergency department before 4 5 all the lab work is back? 5 you to do that with regard to a particular patient? 6 6 A. If I have been notified by the lab of a A. Yes. 7 Q. Okay. And in that circumstance what 7 critical value, I will go in and specifically print 8 happened with regard to that lab work that was to be 8 out the results, so that way I have the whole part of 9 obtained after the patient left? 9 the lab report, such as a basic metabolic panel, that 10 MS. BRYAN: Form. 10 they have called regarding one of the levels being A. If something out of the value of that lab 11 elevated or low, print out the whole report and then 11 12 work comes back and it's an abnormal level, then it's 12 give it to the physician. routinely -- the lab routinely notifies the E.R. 13 13 Or if I have physician -- I mean a charge nurse and then the E.R. charge nurse follows 14 patient that has been waiting for a disposition, you 14 15 15 up with what the disposition of the patient is. And know, I can see based on the computer that all of 16 if they have to contact the patient, then they 16 their results are back. I would print the results contact them. 17 off and put it in front of the physician to notify 17 18 them that the patient's ready for disposition. 18 Q. (By Mr. Pfeifer) In dealing with E.R. physicians at Memorial Southeast, have you ever Q. And when you say "disposition," what are 19 19 20 received a verbal request from an E.R. doctor to go 20 you talking about? 21 inquire about the status of lab tests? 21 A. Disposition of the patient being whether 22 22 A. Related to a patient that's present in the the patient is discharged or -- needs to be emergency room? 23 23 discharged or admitted to the hospital. Q. Yes. 24 24 Q. Okay. And that disposition is something 25 25 A. Yes. that the physician decides on?

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	Dama 70		Dama 00
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	participated in peer review	1	MR. PFEIFER: That's fine. Go ahead.
2	MR. PFEIFER: No.	2	VIDEO TECHNICIAN: We're off the
3	MS. BRYAN: cause analysis or	3	record. It's 11:55.
	outside that context?	4	(Recess taken.)
5	MR. PFEIFER: Outside that context.	5	MS. BRYAN: We took a break so I could
6	A. No.	6	figure out if we have any attorney-client privilege
7	Q. (By Mr. Pfeifer) Okay. Do you know	7	issues that I should instruct the witness not to
	April Ganz?	8	answer. I think there is certainly some there is
9	A. Yes.	9	an argument that this would be covered by
10	Q. Have you ever spoken to April Ganz about	10	attorney-client privilege. I am willing to let
11		11	Mr. Pfeifer ask this question in the interest of not
12	A. Not that I can recall other than she is	12	having to argue about this in front of the Court. I
	aware of just the deposition, because I did ask her	13	am certainly only going to allow that if there is an
	ust about the triage guidelines.	14	agreement that this is not any waiver of
15	Q. You asked April Ganz about the triage	15	attorney-client privilege or work product, party
	guidelines?	16	communication, et cetera, because this is a
17	A. April is the current clinical educator at	17	conversation about retrieving a document for a
	Southeast. And after you and I had met	18	lawsuit.
19	MS. BRYAN: Okay, everybody stop. We	19	With that agreement, I am willing to
	don't tell	20	let her answer the question.
21	THE WITNESS: Okay.	21	MR. PFEIFER: Okay. Sure. I agree.
22	MS. BRYAN: Those are attorney-client	22	MS. BRYAN: All right. There will be
	conversations.	23	no further argument that we have waived anything?
24	THE WITNESS: Okay.	24	MR. PFEIFER: No waiver.
25	MS. BRYAN: And Phil, I'm not going to	25	THE VIDEOGRAPHER: We're back on the
	Page 79		Page 81
1 l	et her talk about what she talked to me about or	1	record. It's 12:07.
2	MR. PFEIFER: Oh, I understand.	2	Q. (By Mr. Pfeifer) Okay. We were talking
3	MS. BRYAN: in helping locate	3	about your conversation before the break with
4 d	documents or anything else. That's all part of	4	April Ganz, okay
	attorney-client communication and preparation for the	5	A. Yes.
	awsuit. She's welcome to talk about discussions	6	Q recently?
7 a	about the facts of the case or care that she's had	7	A. Yes.
8 v	with people, but she's not going to talk about the	8	Q. Tell me about how that came about.
9 v	work for preparing for this lawsuit.	9	A. The conversation was only to clarify that
10	MR. PFEIFER: Okay.	10	the triage guidelines were the correct guidelines
11	MS. BRYAN: Or her conversation	11	that were in place in 2006.
	obviously, you know that's privileged.	12	Q. Okay. And did she confirm that to you?
13	Q. (By Mr. Pfeifer) I guess what I'm trying to	13	A. Yes.
	find out is, with regard to your conversation with	14	Q. Okay. And what is April's current
	April Ganz, was an attorney present?	15	position?
16	A. No.	16	A. She is one of the clinical educators of the
17	Q. And why were you talking were you	17	hospital.
	alking to April on instructions from counsel?	18	Q. To your knowledge what did she do to verify
19	A. No, not specifically.	19	that they were current guidelines as of '06?
20	MS. BRYAN: Before you if you're	20	A. I don't know off the top of my head what
	going to go down this line, I need to go find out	21	she did to verify it.
	what it is because there I was the person trying	22	Q. Okay. Was this something that was a
	o find these guidelines, so I need to find out what	23	face-to-face conversation with her or
	t is. And then I will know whether I have to object	24	A. Yes.
25 a	and instruct her not to answer.	25	Q telephone?

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Page 90 Page 92 1 1 A. I did not have a direct role. That was A. We usually start the transfer process 2 2 done by Dr. Siddiqi and the unit secretary. within our own system hospital first. 3 Q. Okay. What indirect role did you have with 3 Q. Do you know of any time when patients have 4 regard to that? 4 ever been transferred from Memorial Southeast to 5 5 A. After it was decided that -- preparing him Texas Children's? 6 6 for tran -- you know, doing what necessary to --A. In the event that Memorial Hermann 7 tests needed to be done as far as what was deemed by 7 Children's Hospital doesn't have a bed available or 8 8 Dr. Siddiqi. But the only time that I ever came -they're not able to provide the service that the 9 had anything to do with the transfer was when we were 9 patient needs. 10 discussing intubation with them and Dr. Siddiqi 10 Q. Were you able -- I'm sorry. Were you ever 11 personally aware that Memorial Children's did not 11 decided that he needed a higher level of care, 12 meaning that he needed an ICU bed. I notified the 12 have an ICU bed available for 13 13 unit secretary at that time to -- to call the MS. BRYAN: Objection, form. Transfer Center and notify them of the change in 14 A. Yeah, you would have to rephrase that. 14 15 status, that he needed an ICU bed. 15 Q. (By Mr. Pfeifer) Did you ever become aware Q. Let me see if I understand that. From my 16 16 that Memorial Children's did not have a bed, an ICU 17 review of the records it looks like the decision to 17 bed available for was originally made sometime between 18 MS. BRYAN: Objection, form. 18 11 and 12 in the morning? 19 19 Q. (By Mr. Pfeifer) Okay. Were you ever told 20 20 A. Yes. that by anybody? Q. Okay. And that transfer originally was 21 21 A. I was told that they did not have a bed 22 going to be simply from Memorial Southeast to 22 immediately available but that they would be making a 23 Memorial Hermann Children's but not with regard to a 23 bed for him. And that usually means it will happen 24 specific ICU bed? 24 pretty quickly, within an hour. 25 25 A. Correct. Q. Can you explain to me what is going on with Page 91 Page 93 O. Okay. And that transfer was going to be 1 regard to this ambulance in Beaumont? 1 2 a -- do you know how that transfer was going to be 2 A. The -- between Dr. Siddigi and the 3 effectuated in terms of the vehicles or agency 3 accepting ICU physician, they wanted the -- they transported by the pediatric transport 4 4 wanted involved? team. They felt that that would be the best capable 5 5 A. We were going to use an ambulance service, a contracted ambulance service to provide 6 6 team to transfer him from our hospital to Hermann 7 transportation. 7 Children's. 8 8 Q. Okay. And with regard to that transfer And I believe that that particular 9 then, the requested transfer changed because of the 9 team was transporting -- I don't know if they were in intubation of 10 route to Beaumont to transport a patient back from 10 11 11 A. Yes. Beaumont or to Beaumont. I'm not quite sure. And 12 12 Q. And Dr. Siddigi made the request for the the accepting physician wanted him transported by 13 13 that particular transport team because they -- they change? 14 14 A. I believe it was communicated between have a different level of care that they can provide 15 15 Dr. Siddiqi and the accepting physician at -- in the for pediatric patients. They have more team members, 16 ICU as to the change in transfer. The mode of 16 such as primary pediatric critical care nurse, they 17 have a respiratory therapist, a paramedic and at 17 transfer, I should say. Q. Okay. To your knowledge did anyone at times a physician travels with them. 18 18 19 Memorial Southeast ever call Texas Children's 19 Q. And is that with the AMR group? Is that 20 Hospital or contact them with regard to transferring 20 the people we're talking about? 21 21 A. This is Children's Memorial Hermann 22 22 Pediatric Transport Team. AMR is a separate A. Not that I'm aware of. 23 23 contracted ambulance company that we use to provide Q. Is there some kind of standing policy that 24 24 you know of about transfer of patients between transportation for our patients. 25 hospitals in the Memorial System? Q. Okay. So what was the situation? Was the

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Page 94 Page 96 situation that the Pediatric Transport Team was sent transfer, did you have things that you needed to do 2 2 as a nurse to prepare for the transport? to Beaumont by mistake or that the Pediatric 3 Transport Team was attending to another patient who 3 was in Beaumont and therefore was not available to 4 Q. And what were you required to do as the 5 5 nurse to prepare for the transport? come get 6 6 A. Make sure that all of the records are MS. BRYAN: Objection, form. 7 A. It is my understanding that the Pediatric 7 completed, make sure that any outstanding orders are 8 8 Transport Team was in route to Beaumont to take care completed, they are done. Call report to the 9 9 of another pediatric patient. accepting facility. Call whoever the transfer Q. (By Mr. Pfeifer) And therefore was 10 10 company is to get the patient transferred, provide unavailable at that time? 11 them with a report. And then, you know, continue to 11 MS. BRYAN: Objection, form. 12 12 reassess the patient until the transport team A. I'm not understanding exactly what you want 13 13 arrives. 14 me -- what your question is. 14 Q. So are you the person who was on the 15 Q. (By Mr. Pfeifer) What was your 15 telephone with AMR? understanding that day about why the Pediatric 16 A. I don't recall if I specifically called 16 Transport Team did not come get 17 based upon 17 AMR. what you were told by other people? 18 Q. Okay. Did you have telephone conversations 18 MS. BRYAN: Objection, form. 19 between yourself and someone at Memorial Children's? 19 20 A. I called report to the accepting nurse at 20 A. They were in the process of transporting a 21 21 patient from Beaumont. Memorial Children's. 22 Q. (By Mr. Pfeifer) Okay. Did you ever 22 Q. Okay. When you say you called report, 23 receive any information about when they were expected 23 explain that. 24 to arrive? 24 A. I called a nursing report to the accepting 25 A. I don't remember what their estimated time 25 nurse and explained to her what -- you know, what Page 97 Page 95 of arrival was. 1 had been there for, his chief complaint, my 1 2 2 Q. At some point in time did people from a assessment of him, what orders we had done, what 3 company called AMR show up? 3 testing we had done, medications that were done. You 4 know, his vital signs. All of those are included in 4 A. Yes. 5 5 Q. Why did AMR show up? the nursing report. 6 MR. BRENNIG: Objection, speculation. 6 Q. Is that someone who is indicated in the 7 A. They were called to provide transportation 7 record as being T-A-M-A-R? 8 8 for him prior to his level of care being increased. A. Yes. 9 When I called report and told -- and it was decided 9 Q. All right. And that looks like you noted 10 that he was ready for transport, then AMR was 10 that at 1:15 in the afternoon? called. 11 11 A. Yes. 12 12 Then he was reassessed by myself and Q. Okay. And then it was shortly after that 13 that 13 then also by Dr. Siddiqi and it was decided that his condition seemed to change? status had changed. And somewhere in the middle of 14 14 A. Yes. Q. And that he required intubation? 15 us preparing and getting him intubated, a call was 15 16 never placed to AMR to cancel the transport. And 16 that is why they showed up. 17 Q. Okay. You also noted at 13:16, I believe, 17 Q. Okay. See if I understand that. Between transfer approved at 12:27? 18 18 11 and noon the decision is made to transport 19 19 A. Correct. 20 from Memorial Southeast to Memorial Children's in the 20 Q. What did you mean by that? 21 21 A. That the transfer was approved at 12:27, Medical Center. 22 22 MS. BRYAN: Objection, form. that he was officially accepted at Hermann Q. (By Mr. Pfeifer) Correct? 23 23 Children's. A. Yes. 24 24 Q. Okay. In this entire process did you ever 25 25 Q. Okay. And once that decision was made to speak to a physician at Hermann Children's?

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that

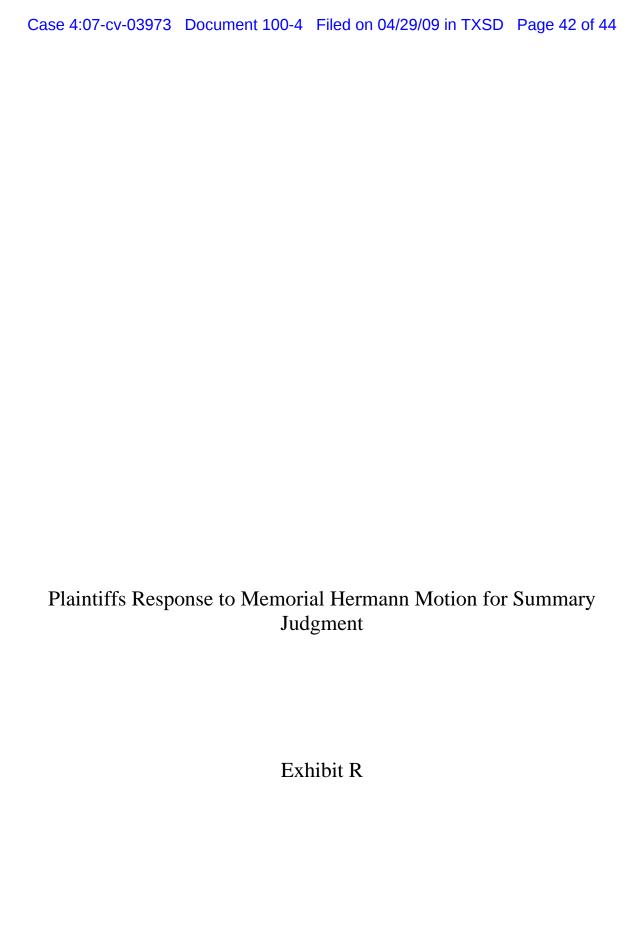
had sepsis?

Page 98 Page 100 1 1 A. No. A. Yes, Dr. Siddiqi did. 2 2 Q. That afternoon between the time that Q. And when was it during the course of the 3 was intubated and the time that you 3 day that he told you that had sepsis? 4 discovered that his temperature was elevated at 4 A. I can't recall the exact time. 5 107.9, did you ever speak to Dr. Siddiqi? 5 Q. At any point in time did Dr. Siddiqi ever A. Yes. It's documented in my note that I 6 tell you that had septic shock? 6 7 have spoken to him. 7 A. I don't recall that term ever being used. 8 8 Q. Okay. When was it that you spoke to him? Q. Okay. Are you able to narrow it down at 9 A. There is documentation in the note that I 9 all in terms of a time frame when Dr. Siddigi told spoke to him, that he was restless, and I received you that had sepsis? 10 10 orders from him to sedate the patient, and also that 11 MR. BRENNIG: Objection, speculation. 11 Dr. Siddiqi was at the bedside, it says, at 14:55 and 12 12 MS. BRYAN: Form. 13 then at 15:15. 13 A. It was, I'm guessing, probably around 14 12:30-ish, one o'clock. 14 MS. BRYAN: Now, when you are looking 15 at the record, I would prefer you to use this because 15 Q. And what is it that leads you to that 16 there are clearly things in the second exhibit that 16 belief? 17 are not in the original record. 17 MR. BRENNIG: Object to form. THE WITNESS: Okay. 18 18 MS. BRYAN: You don't guess. A. Let's see. At 14:25 Dr. Siddigi is -- it's 19 MR. BRENNIG: Speculation. 19 20 20 in the -- in the official record that he is -- AMR is A. It was just prior -- I mean, it was during 21 21 at bedside and he is to be transferred by Hermann the discussion with his parents prior to him being 22 Children's ground ambulance, that he's aware of the 22 intubated. 23 potential length of delay due to the transport team 23 Q. All right. Are you able to tell me from 24 traveling to Beaumont to pick up another patient. 24 looking at the chart, the official chart, when it was 25 And then at 14:55, that he is 25 that the first set of CBC values on came back Page 99 Page 101 restless, breathing over the vent. I spoke to him 1 on the 13th? By that I mean were available and 2 and orders received to sedate him. 2 reported. 3 And then again at 15:15 is when 3 A. I -- according to the chart, the time 4 Dr. Siddigi is at the bedside to discuss the plan of 4 that -- they are timed at 8:10 in the morning, but 5 care and explain the delay in transfer. 5 that's the time that they were drawn. I don't 6 Q. Between 15:15 and the time that the fever 6 know -- it doesn't say what time they were actually 7 went up which was, what, 15:32? 7 reported. 8 8 A. I believe so. Q. Okay. Is there a notation on the chart 9 Q. So that's a period of about 17 minutes, 9 concerning the CBC about manual differential being 10 10 performed? right? 11 11 A. Yes, there is. A. Correct. Q. Okay. During that period of time, did 12 12 O. What is the time? Dr. Siddiqi ever notify you that he was going off 13 13 MS. BRYAN: What's the Bates number 14 14 shift? there? 15 15 A. I don't remember him doing so. THE WITNESS: Okay. 0067. 16 Q. Who was it -- well, let me ask you this: 16 Q. (By Mr. Pfeifer) And what is the time? Did anyone contact Hermann Children's and request 17 A. It has manual differential performed 17 18 Life Flight? 18 2-13-06 at 08:55. 19 A. I believe Dr. Nguyen did. 19 Q. Would you look at the medication 20 20 Q. And did Life Flight respond to that administration record, please. 21 21 MS. BRYAN: Bates number when you get request? 22 22 A. Yes. it. 23 23 Q. At any time during this care that you were THE WITNESS: 47. 0047. 24 24 Guzman, did anyone indicate to you Q. (By Mr. Pfeifer) By looking at the giving to

medication administration record, are you able to

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IN THE UNITED STATE DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

WENDY GUZMAN, INDIVIDUALLY	§	
AND AS NEXT FRIEND OF TRISTAN	§	
GUZMAN, A MINOR	§	
	§	CIVIL ACTION NO. 04:07-CV-03973
	§	CIVIL ACTION NO. 04.07-C V-039/3
V.	§	JURY DEMANDED
	§	JUK I DEMANDED
MEMORIAL HERMANN HOSPITAL	§	
SYSTEM, D/B/A MEMORIAL	§	
HERMANN SOUTHEAST HOSPITAL	§	

AFFIDAVIT OF PHILLIP A. PFEIFER

STATE OF TEXAS

COUNTY OF HARRIS

AFFIDAVIT

Before me, the undersigned authority, on this day personally appeared Phillip A. Pfeifer, who is known to me, who after being by me duly sworn, did depose as follows:

My name is Phillip A. Pfeifer. I am over the age of twenty-one years; I am of sound mind; I have never been convicted of a felony or a crime of moral turpitude; and I am competent to make this affidavit. I have personal knowledge of the facts stated in this affidavit, and such facts are true and correct.

I am counsel of record for the Plaintiffs in the above styled and captioned case. Attached to Plaintiffs' Response to Defendant Memorial Hermann Hospital System's Motion for Partial Summary Judgment are multiple discovery materials that have not been filed in this case. I hereby swear and affirm that the attachments to this Response are true and correct copies of the actual discovery materials, including policies and procedures from Memorial Hermann, depositions that have been taken in this case, a copy of a legal opinion, and the affidavit with attachments or Dr. Stephen A. Hayden.

Further, affiant saieth not.

Pullip A Pfeign Phillip A. Pfeifer Sworn to and subscribed before me, the undersigned notary public, on the 29th day of April,

2009.

My commission expires on 3-38-3610

